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PTO/SB/21 (09-04)

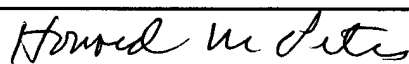
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 <b>TRANSMITTAL FORM</b> (to be used for all correspondence after initial filing)	Application Number	10/664,697	
	Filing Date	09/16/2003	
	First Named Inventor	Cheng Li	
	Art Unit	1615	
	Examiner Name	Unassigned	
Total Number of Pages in This Submission	15	Attorney Docket Number	4003.13

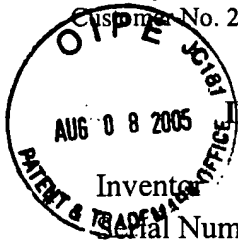
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Firm Name	PETERS, VERNY, JONES, SCHMITT & ASTON, LLP		
Signature			
Printed name	Howard M. Peters		
Date	08/04/2005	Reg. No.	29,202

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This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor : Cheng Li, et al.

Art Unit : 1615

Serial Number : 10/664,697

Examiner : Unassigned

Filed : 09/16/2003

Title : Multiple-Arm Peptide Compounds,  
Methods of Manufacture and Use in  
Therapy

Confirmation

Customer

Number : 5503

Number : 23308

## CERTIFICATE OF MAILING or FACSIMILE TRANSMISSION UNDER 37 C.F.R. § 1.8(a)(1)

I hereby certify that this correspondence (along with any referred to as being attached or enclosed) is, on the date shown below, being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria Virginia, 22313-1450, or facsimile transmitted to the U.S. Patent and Trademark Office on the date shown below.

Dated: 08/04/2005By: Howard M. PetersPrinted Name: Howard M. PetersTRANSMITTAL OF INFORMATION DISCLOSURE  
STATEMENT UNDER 37 CFR § 1.97

Mail Stop Amendment  
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Sir:

Pursuant to 37 C.F.R. § 1.97 and § 1.98, Applicants submit for consideration in the above-identified application the documents listed on the attached Form PTO-1449. The Examiner is requested to make these documents of record in the application.

This Information Disclosure Statement is submitted within three months of the application filing date or before mailing of a first Office Action on the merits; accordingly, no fee or separate requirements are applicable.

Applicants would appreciate the Examiner initialing and returning the Form PTO-1449, indicating that the information has been considered and made of record herein.

The information contained in the Information Disclosure Statement under 37 C.F.R. § 1.97 is not to be construed as a representation that: (i) a complete search has been made; (ii) additional information material to the examination of this application does not exist; (iii) the information, protocols, results and the like reported by third parties are accurate or enabling; or (iv) the above information constitutes prior art to the subject invention.


In the unlikely event that the transmittal letter is separated from this document and the Patent Office determines that an extension and/or other relief is required, applicant petitions for any required relief including extensions of time and authorizes the Assistant Commissioner to charge the cost of such petitions and/or other fees due in connection with the filing of this document to Deposit Account No. 16-1331.

This submission is accompanied by a Transmittal Form, one Form 1449, BC reference, BD reference and a postcard. In accordance with M.P.E.P §609.III, Minimum Requirements For an Information Disclosure Statement, copies of U.S. patents cited herein are not included since this application was filed after June 23, 2003. Also, no copy of any cited U.S. patent application is included, in accordance with USPTO Notice dated September 21, 2004, <http://www.uspto.gov/web/offices/pac/dapp/opla/preognotice/rule98waiverd.pdf>.

These references were cited in the International application PCT/US04/29951.

Respectfully submitted,

Date: 08/04/2005

  
Howard M. Peters, Reg. No. 29,205  
PETERS, VERNY, JONES, SCHMITT & ASTON, LLP  
Tel: (650) 324-1677  
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Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Sheet 1 of 1

**Complete if Known**

Application Number	10/664,697
Filing Date	09/16/2003
First Named Inventor	Cheng Li
Group Art Unit	1615
Examiner Name	Unassigned
Attorney Docket Number	4003.13

**U.S. PATENT DOCUMENTS**

Examiner Initials *	Cite No. <sup>1</sup>	Document Number	Publication Date/ Issue Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code <sup>2</sup> (if known)			
	BB	US- 5,229,490	06-20-1993	Tam	

**FOREIGN PATENT DOCUMENTS**

Examiner Initials *	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> (if known)				

**OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS**

Examiner Initials *	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
	BC	NOMIZU, M., et al., "Multimeric forms of the active laminin peptide YIGSR enhance the inhibition of tumor growth and metastasis," Peptide Chemistry, pp 249-252 (1993).	
	BD	POSNETT, D., et al., "A novel method for producing anti-peptide antibodies," The Journal of Biological Chemistry, Vol. 263, No. 4, pp 1719-1725 (Feb 1998).	

Examiner  
SignatureDate  
Considered

<sup>1</sup> EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04.

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